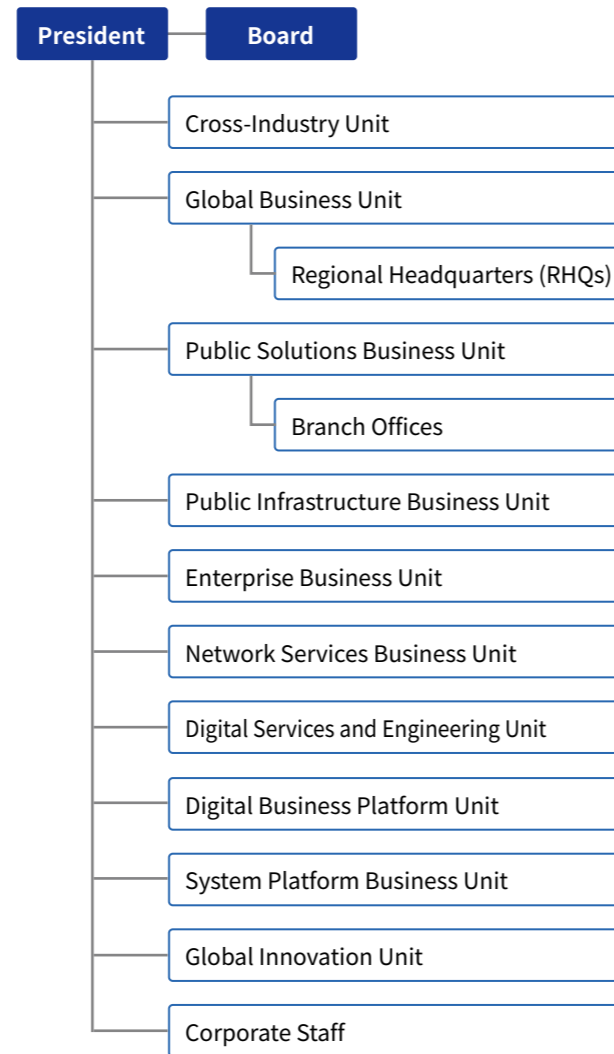


Corporate Data

# Corporate Overview

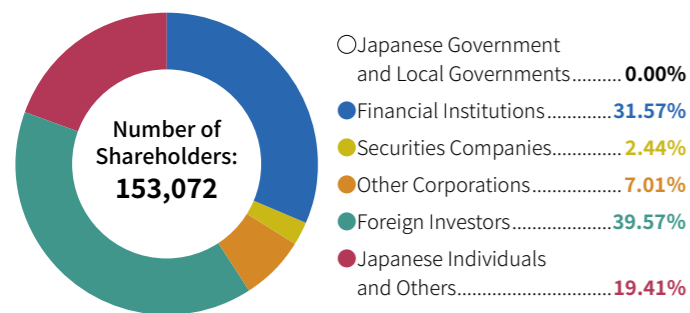
<b>Company Name</b>	NEC Corporation
<b>Address</b>	7-1, Shiba 5-chome, Minato-ku, Tokyo 108-8001, Japan
<b>Established</b>	July 17, 1899
<b>Number of Employees</b> <small>(As of March 31, 2021)</small>	114,714 (NEC Corporation and consolidated subsidiaries)
<b>Total Number of Shares Issued</b> <small>(As of March 31, 2021)</small>	272,849,863 shares
<b>Stock Exchange Listing</b>	Tokyo (Securities Code: 6701)
<b>Shareholder Register Administrator</b>	Sumitomo Mitsui Trust Bank, Limited 1-4-1, Marunouchi, Chiyoda-ku, Tokyo

## Organization Chart



## Classification of Shareholders (Shareholding Ratio)

(As of March 31, 2021)



## Major Shareholders (Top 10)

(As of March 31, 2021)

Name of Shareholders	Number of Shares Held (Thousands of shares)	Shareholding Ratio (%)
The Master Trust Bank of Japan, Ltd. (Trust Account)	24,945	9.14
Custody Bank of Japan, Ltd. (Trust Account)	16,606	6.09
NIPPON TELEGRAPH AND TELEPHONE CORPORATION	13,023	4.77
STATE STREET BANK WEST CLIENT – TREATY 505234	5,649	2.07
Sumitomo Life Insurance Company	5,600	2.05
Custody Bank of Japan, Ltd. (Trust Account No. 7)	5,381	1.97
NEC Employee Shareholding Association	4,231	1.55
GOVERNMENT OF NORWAY	4,158	1.52
Custody Bank of Japan, Ltd. (Trust Account No. 5)	3,895	1.43
Custody Bank of Japan, Ltd. (Trust Account No. 4)	3,604	1.32

## Investor Relations

<https://www.nec.com/en/global/ir>

Posted on the NEC Investor Relations (IR) website are IR presentation materials and other documents, NEC's financial position and business results, stock and bond information, and much more. NEC constantly strives to enhance its disclosure on this website.

**Division in Charge**  
Investor Relations Office, Corporate Finance & Global Treasury Division

## Evaluation by External Parties (As of July 2021)

NEC is included in world-renowned ESG indices.

Member of **Dow Jones Sustainability Indices**  
Powered by the S&P Global CSA

**FTSE4Good**

**MSCI ESG Leaders Indexes**  
2021 MSCI ESG Leaders Indexes Constituent

THE INCLUSION OF NEC CORPORATION IN ANY MSCI INDEX, AND THE USE OF MSCI LOGOS, TRADEMARKS, SERVICE MARKS OR INDEX NAMES HEREIN, DO NOT CONSTITUTE A SPONSORSHIP, ENDORSEMENT OR PROMOTION OF NEC CORPORATION BY MSCI OR ANY OF ITS AFFILIATES. THE MSCI INDEXES ARE THE EXCLUSIVE PROPERTY OF MSCI. MSCI AND THE MSCI INDEX NAMES AND LOGOS ARE TRADEMARKS OR SERVICE MARKS OF MSCI OR ITS AFFILIATES.

**Euronext Vigeo World 120**

**STOXX Global ESG Leaders Index**  
Member 2020/2021 ESG Leaders Indexes

**ISS ESG Corporate Rating**  
Corporate ESG Performance Prime

**CDP (Climate Change, Water Security, and Supplier Engagement)**  
A LIST 2020 CLIMATE, WATER, SUPPLIER ENGAGEMENT LEADER 2020

**EcoVadis**  
2020 Sustainability Rating PLATINUM

**Daiwa Investor Relations Internet IR Grand Prize 2020**



**Nikko Investor Relations 2020 Overall Ranking of Corporate Websites for Listed Japanese Companies**

## Cautionary Statement with Respect to Forward-Looking Statements

This material contains forward-looking statements regarding estimations, forecasts, targets and plans in relation to the results of operations, financial conditions and other overall management of the NEC Group (the "forward-looking statements"). The forward-looking statements are made based on information currently available to the Company and certain assumptions considered reasonable as of the date of this material. These determinations and assumptions are inherently subjective and uncertain. These forward-looking statements are not guarantees of future performance, and actual operating results may differ substantially due to a number of factors. The factors that may influence the operating results include, but are not limited to, the following:

- adverse economic conditions in Japan or internationally;
- foreign currency exchange and interest rate risks;
- changes in the markets in which the NEC Group operates;
- the recent outbreak of the novel coronavirus;
- potential inability to achieve the goals in the NEC Group's medium-term management plan;
- fluctuations in the NEC Group's revenue and profitability from period to period;
- difficulty achieving the benefits expected from acquisitions, business combinations and reorganizations and business withdrawals;
- potential deterioration in the NEC Group's relationships with strategic partners or problems relating to their products or services;
- difficulty achieving the NEC Group's growth strategies outside Japan;
- potential inability to keep pace with rapid technological advancements in the NEC Group's industry and to commercialize new technologies;
- intense competition in the markets in which the NEC Group operates;
- risks relating to the NEC Group's concentrated customer base;
- difficulties with respect to new businesses;
- potential failures in the products and services the NEC Group provides;
- potential failure to procure components, equipment or other supplies;
- difficulties protecting the NEC Group's intellectual property rights;
- potential inability to obtain certain intellectual property licenses;
- the NEC Group's customers may encounter financial difficulties;
- difficulty attracting, hiring and retaining skilled personnel;
- difficulty obtaining additional financing to meet the NEC Group's funding needs;
- potential failure of internal controls;
- potentially costly and time-consuming legal proceedings;
- risks related to regulatory change and uncertainty;
- risks related to environmental laws and regulations;
- information security and data protection concerns and restrictions;
- potential changes in effective tax rates or deferred tax assets, or adverse tax examinations;
- risks related to corporate governance and social responsibility requirements;
- risks related to natural disasters, public health issues, armed hostilities and terrorism;
- risks related to the NEC Group's pension assets and defined benefit obligations; and
- risks related to impairment losses with regard to goodwill.

The forward-looking statements contained in this material are based on information that NEC possesses as of the date hereof. New risks and uncertainties come up from time to time, and it is impossible for NEC to predict these events or how they may affect the NEC Group. NEC does not intend to update or revise any forward-looking statements, whether as a result of new information, future events or otherwise.

Note: In this presentation, the accounting periods of the fiscal years for March 31, 2021 and 2022 were referred as FY21/3 and FY22/3 respectively. Any other fiscal years would be referred similarly.

## Trademarks

- NEC is a registered trademark of NEC Corporation in Japan and other countries.
- All other names may be trademarks of their respective owners.