## **Corporate Overview**

**Company Name** NEC Corporation

7-1, Shiba 5-chome, Minato-ku, Address

Tokyo 108-8001, Japan

**Established** July 17, 1899

Number of Employees (As of March 31, 2019)

110,595

(NEC Corporation and consolidated subsidiaries)

Total Number of Shares Issued (As of March 31, 2019)

260,473,263 shares

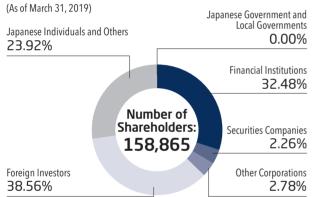
**Stock Exchange Listing** 

Tokyo (Securities Code: 6701)

**Shareholder Register Administrator** 

Sumitomo Mitsui Trust Bank, Limited 1-4-1, Marunouchi, Chiyoda-ku, Tokyo

### Classification of Shareholders (Shareholding Ratio)



#### **Organization Chart**



#### Major Shareholders (Top 10) (As of March 31, 2019)

Name of Shareholders	Number of Shares Held (Thousands of Shares)	Shareholding Ratio
The Master Trust Bank of Japan, Ltd. (Trust Account)	18,928	7.28
Japan Trustee Services Bank, Ltd. (Trust Account)	15,688	6.04
NIHK A/C CLIENT (OWNED BY KKR FUNDS)	8,972	3.45
Japan Trustee Services Bank, Ltd. (Trust Account No.9)	7,080	2.72
STATE STREET BANK WEST CLIENT – TREATY 505234	6,391	2.46
Sumitomo Life Insurance Company	5,600	2.16
Japan Trustee Services Bank, Ltd. (Trust Account No.5)	5,075	1.95
NEC Employee Shareholding Association	4,762	1.83
BNYMSANV AS AGENT / CLIENTS LUX UCITS NON TREATY 1	4,470	1.72
Japan Trustee Services Bank, Ltd. (Trust Account No.7)	4,388	1.69

Note: The shareholding ratio is calculated by excluding the number of treasury stock (638,482 shares)

The information contained in Integrated Report 2019 is also available on NEC's website.

#### NEC home page

# https://www.nec.com

#### Sustainability

### https://www.nec.com/en/global/csr/

The above link offers further details of NEC's approach to sustainable management based on ESG, "Sustainability Report 2019," and related information.



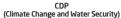
Sustainability Promotion Office, Corporate Communications Division

## FTSF4Good Index Series

## **Evaluation by External Parties** MSCI ESG Leaders Indexes

MSCI ESG





(As of September 2019)



MSCI

Euronext Vigeo World 120 Ethibel Pioneer & Excellence



FTSE4Good

ISS ESG Corporate Rating

STOXX













## **Investor Relations**

### https://www.nec.com/en/global/ir

Posted on the NEC Investor Relations (IR) website are IR presentation materials and other documents, NEC's financial position and business results, stock and bond information, and much more. NEC constantly strives to enhance the disclosure on this website.





#### Cautionary Statement with Respect to Forward-Looking Statements

This material contains forward-looking statements regarding estimations, forecasts, targets and plans in relation to the results of operations, financial conditions and other overall management of the NEC Group (the "forward-looking statements"). The forward-looking statements are made based on information currently available to NEC and certain assumptions considered reasonable as of the date of this material. These determinations and assumptions are inherently subjective and uncertain. These forward-looking statements are not guarantees of future performance, and actual operating results may differ substantially due to a number of factors. The factors that may influence the operating results include, but are not limited to, the following:

- Effects of economic conditions, volatility in the markets generally, and fluctuations in foreign currency exchange and interest rate
- Trends and factors beyond the NEC Group's control and fluctuations in financial conditions and profits of the NEC Group that are caused by external factors
- Risks arising from acquisitions, business combinations and reorganizations, including the possibility that the expected benefits cannot be realized or that the transactions may result in unanticipated adverse consequences
- Developments in the NEC Group's alliances with strategic partners
- Effects of expanding the NEC Group's global business
- Risk that the NEC Group may fail to keep pace with rapid technological developments and changes in
- Risk that the NEC Group may lose sales due to problems with the production process or due to its failure to adapt to demand fluctuations
- Defects in products and services
- Shortcomings in material procurement and increases in delivery cost
- Acquisition and protection of intellectual property rights necessary for the operation of business

- Risk that intellectual property licenses owned by third parties cannot be obtained and/or are discontinued
- Risk that the NEC Group may be exposed to unfavorable pricing environment due to intensified competition
- Risk that a major customer changes investment targets, reduces capital investment and/or reduces the value of transactions with the NEC Group
- Risk that the NEC Group may be unable to provide or facilitate payment arrangements (such as vendor financing) to its customers on terms acceptable to them or at all, or risk that the NEC Group's customers are unable to make payments on time, due to the customers' financial difficulties or otherwise
- Risk that the NEC Group may experience a substantial loss of, or an inability to attract, talented personnel
- Risk that the NEC Group's ability to access the commercial paper market or other debt markets are adversely affected due to a downgrade in its credit rating
- Risk that the NEC Group may incur large costs and/or liabilities in relation to internal control, legal proceedings, laws and governmental policies, environmental laws and regulations, tax practice, information management, and human rights and working environment
- · Consequences of natural and fire disasters
- Changes in methods, estimates and judgments that the NEC Group uses in applying its accounting policies
- Risk that the NEC Group may incur liabilities and losses in relation to its retirement benefit obligations

The forward-looking statements contained in this material are based on information that NEC possesses as of the date hereof. New risks and uncertainties come up from time to time, and it is impossible for NEC to predict these events or how they may affect the NEC Group. NEC does not intend to update or revise any forward-looking statements, whether as a result of new information, future events or otherwise.

#### Trademarks

- NEC is a registered trademark of NEC Corporation in Japan and other countries.
- All other names may be trademarks of their respective owners.

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