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Introduction

This white paper documents the Proof of Concept (PoC) using NEC Invariant Analyzer (IA), a behavior learning analytics-based technology, with Oracle Enterprise Manager, an application and systems management solution, to monitor performance of a large IT deployment, which detected performance anomalies and assisted in root cause analysis. Included in this document is a description of how to set up NEC Invariant Analyzer with Oracle Enterprise Manager.

The target system was a collaboration application deployed enterprise wide, supporting tens of thousands of users worldwide with access to email, calendar and file sharing.

NEC Invariant Analyzer used performance data collected by Oracle Enterprise Manager, from the target system including 16 database servers and 98 storage devices. In total, 30,000 metrics were collected. During this PoC, NEC Invariant Analyzer identified anomalies and suspected performance bottlenecks, helping to augment conventional threshold monitoring methods and identifying potential hot spots for systems and application administrators to make adjustments and fine tune the thresholds in their enterprise monitoring tools.

Today’s IT applications support mission critical operations or customer-facing, revenue generating business processes for many enterprises. This is an evolution from previous implementations where applications were perhaps less complex and housed in a single or a few physical servers located in the same data center. Today, with the adoption of SOA, on-premise or cloud-based applications representing critical business processes are composite and can span many systems, over several data centers, with some possibly provided by external parties such as service providers. In these architectures, conventional performance management methods based on threshold setting of key IT metrics such as CPU utilization, I/O statistics, or network traffic offer a limited view into the overall health of the application and the implications on how well the application is supporting the underlying business processes.

It is often a challenge for IT professionals to proactively address performance issues if their tools fail to provide them with accurate guidance on early detection of pending performance issues and possible root cause of failures.

Proactive Performance Analysis:

In increasingly complex IT environments, using advanced system management solutions such as Oracle Enterprise Manager is key to ensuring stable operation and minimizing application performance degradation and system downtime. However, even in a well-managed and well-maintained environment, gradual degradation of service levels, as opposed to sudden disruption of services are difficult to detect by conventional threshold monitoring. Often, a system actively being monitored yields no helpful error messages to provide forewarning about the underlying systems that is being taxed and about to exceed the threshold set forth by the administrators. Log files and performance metrics often fail to detect that the systems are about to fault because some types of anomalies can occur without warning and can go undetected. Systems administrators are caught off-guard by the undetected anomalies which if unaddressed can cause serious system disruptions or affect user experience. Oracle Enterprise Manager can help system administrators identify problems that arise from the systems being managed and raise the root cause to those problems using the Incident Manager console.

In tight economic times where IT budgets are stretched, the ratio of systems being managed to the number of systems administrators has been increasing steadily. Systems administrators need tools to automate the detection of problems across a broad set of IT assets, including infrastructures such as server, networking, and storage to platforms such as applications, middleware and databases. Manually monitoring standalone metrics data and failing to leverage automation of performance monitoring and analysis can lead to inefficient use of IT resources.

With the adoption of cloud computing, IT systems formerly deployed into isolated silos are now networked together to form shared services such as Infrastructure as a Service (IaaS) or Platform as a Service (PaaS). As the adoption of IaaS and PaaS becomes more prevalent, management suites like Oracle Enterprise Manager can provide a holistic view of the entire application and infrastructure stack. Visibility and understanding of the dependencies and overall management of the entire stack become imperative. Separate application management and infrastructure management solutions are a challenge for IT professionals both for implementation and ongoing management.

Having one complete solution such as Oracle Enterprise Manager provides the application and database administrators a set of common workflows such as provisioning the assets, tracking configuration changes, applying systems and software updates, implementing cloud management such as metering and chargeback, and dynamic policy-based resource allocation across the shared services. As a result, although the task of managing multiple domains can be daunting, system administrators are better equipped to manage effectively the overall system and application stack.
Overview of Oracle Enterprise Manager

Oracle Enterprise Manager enhances the value of business applications by providing a centralized systems and application management solution to reduce IT silos and provide a business approach to IT Management. Three important ways in which Oracle Enterprise Manager accomplishes its mission of providing business context for IT are:

- A complete cloud lifecycle management solution which allows customers to quickly setup, manage and support enterprise clouds and traditional Oracle IT environments, from application to disk
- Maximum return on IT management investment through intelligent management of the Oracle stack and engineered systems, with real-time integration of Oracle’s knowledgebase with each customer environment
- Unsurpassed service levels for traditional and cloud applications through business-driven application management

In addition, connectivity between the Oracle Management Server (OMS) and My Oracle Support allows customers to get more timely information about health checks and updates and to work more effectively with My Oracle Support.

Overview of NEC Invariant Analyzer

Recognizing the need for more effective, more proactive IT performance management solutions, NEC developed a behavior learning analytics-based technology to facilitate the monitoring and analysis of performance issues. NEC Invariant Analyzer is the system performance analysis solution delivering such advanced capabilities.

Invariant Analyzer looks for “consistent, time invariant correlations” between performance metrics of an IT infrastructure during normal operations. For example, there might be a correlation between input traffic to web server and the CPU load of an application processing network data from the web server. When input traffic increases, the CPU usage by the application also increases; conversely. When input traffic decreases, the CPU usage by the application also decreases. Such correlation is always valid (time invariant) under normal system operations regardless of changes in the workload. Invariant Analyzer detects and uses such consistent (invariant) correlation between the performance metrics.

The architecture of Oracle Enterprise Manager Cloud Control consists of three tiers:

1. Repository Database: Oracle Enterprise Manager has a data store, which serves to gather all the data for the targets being monitored.
2. Oracle Management Server (OMS): The OMS is the central part of Oracle Enterprise Manager. All information flows through the OMS. Every data point gathered by the agents and all requests made by the administrator go through this critical tier.
3. Agents: the agent is responsible for data collection and remote task execution.

Oracle Enterprise Manager Cloud Control Framework

![Figure 1: Oracle Enterprise Manager Cloud Control Architecture](image1)

![Figure 2: Invariant relationship between two metrics during normal behavior](image2)

![Figure 3: Broken relationship between two metrics during abnormal behavior](image3)
NEC’s Invariant Analyzer uses performance data collected across multiple IT domains during normal operation period and looks for invariant correlations to build a reference model. To build the model, Invariant Analyzer checks and excludes irrelevant or redundant performance metrics such as constant values or dropped data. During analysis, performance metrics are monitored against the reference model and any deviations from the model forecast are identified. As long as performance metrics data and the reference model forecast are consistent, the system is operating normally. When there is significant deviation between performance metrics data and the reference model forecast, a “broken invariant” is determined and a large number of “broken invariants” could indicate a system behavior anomaly. Increasing system behavior anomaly can predict potentially serious impending system failure.

The analysis result shows detected system behavior anomalies and associated “broken invariants”. Invariant Analyzer thus informs the system administrator on occurrence time, severity, and distribution of broken invariants. In addition, Invariant Analyzer can recognize broken invariant patterns against past identified patterns, enabling faster troubleshooting of similar failures, based on past actions taken to address these failures.

Benefits of Using NEC Invariant Analyzer

Invariant Analyzer results are independent of individual’s experience, knowledge, or intuition. Even for large scale systems, Invariant Analyzer automatically detects system behavior anomalies based on computed comparison with a reference model. This new and innovative approach differs from conventional threshold monitoring and makes it possible to detect performance issues even when no error messages are being generated.

In addition, Invariant Analyzer is agnostic to the methods used to collect performance data or the origin of the data. As long as the performance data is a numerical value and periodically collected, Invariant Analyzer can detect broken invariant relationships relative to the reference model, thereby detecting system behavior anomalies.

Invariant Analyzer is built upon the premise that there are no constraints on type of hardware or software components. NEC believes any type of performance data from different layers and across IT domains can be used to build the reference model and to monitor performance. The basic method to import performance data to Invariant Analyzer is to convert performance data into CSV files and import. Invariant Analyzer can also import performance data from generic monitoring tools, such as Windows Performance Monitor or Linux sar, without converting into CSV files.

Invariant Analyzer has the capability to perform real time analysis by importing performance data from NEC’s system monitoring tools or third party systems management tools. With the planned integration with Oracle Enterprise Manager’s Connector Framework, Invariant Analyzer can perform real time performance monitoring and analysis through the real time connectors in development for Oracle Enterprise Manager.

**Proof of Concept (PoC): NEC Invariant Analyzer with Oracle Enterprise Manager**

This section describes the Proof of Concept (PoC) project conducted in Oracle environment using NEC Invariant Analyzer with Oracle Enterprise Manager to monitor performance of large IT deployment, detect performance issues and assist in root cause analysis.

**Set Up**

Comprised of 16 database servers and 98 storage devices, the target system was a collaboration application deployed enterprise wide, supporting tens of thousands of users worldwide with access to email, calendar and file sharing. The application is multi-tier and composed of a web-tier, database-tier and storage-tier. Oracle Enterprise Manager is Oracle’s application and system management solution to manage IT environments including Oracle database, middleware, applications, infrastructure, and engineered systems. Oracle Enterprise Manager collects performance metrics from the target application system at pre-defined intervals throughout the day.
Performance metrics including throughput, I/O, and latency were extracted from Oracle Enterprise Manager console that monitors the production environment. Scripts were scheduled for data extraction every three days, from the Oracle Enterprise Manager Repository over a period of one month. From this performance data, Invariant Analyzer established a baseline model defining “normal operation” for that environment.

**Configurations**

NEC Invariant Analyzer is able to accept various metrics as input data, including output of UNIX sar command, event log of Windows OS, and SNMP data from network devices. Invariant Analyzer is also able to import data files in CSV format. Invariant Analyzer does not currently have the capability to import metrics data directly from Oracle Enterprise Manager so CSV files are used.

The metrics data for the target system is held in an Oracle Enterprise Manager Repository database. Invariant Analyzer imports the data in two steps. The first step is to extract raw data from the repository by SQL. The second step is to convert raw data into CSV file format, and import the files to Invariant Analyzer.

There are approximately 30,000 metrics from database servers (host, database instance, listener, and ASM instance) and storage devices. Invariant Analyzer built an invariant model from a total of 30,000 x 30,000 = 900,000,000 combinations and analyzed abnormal behavior.

**Findings**

NEC Invariant Analyzer identified suspect anomalies and performance bottleneck, which are typically difficult to detect through conventional threshold monitoring alone.

**Detection of Unrecognized Anomaly**

NEC Invariant Analyzer detected anomalies that did not violate existing Oracle Enterprise Manager thresholds. NEC Invariant Analyzer is agnostic to monitoring threshold generally utilized by monitoring solutions such as Oracle Enterprise Manager. Therefore, Invariant Analyzer can recognize invariants that do not correspond to threshold-based monitoring. In this first case, IA detected anomalies that would not normally register as alarms in Oracle Enterprise Manager.
Approximately 30,000 metrics were collected and used for analysis. For the reference model, 288 time samples were used (at 15 minute intervals over three days, or 4 per hour x 24 hours x 3 days = 288) which, after analysis resulted in 3.6 million invariants out of the 900 million maximum (30,000 x 30,000) possible invariants extracted by Invariant Analyzer. In building the reference model, Invariant Analyzer checks and eliminates irrelevant or redundant metrics, e.g. constant values, dropped data to optimize analysis. With the large number of correlations from the automatic compilation of performance metrics, Invariant Analyzer can analyze more combinations and spot invariants more effectively than typical conventional methods whereby administrators selectively monitor a few dozen metrics and then analyze them manually.

**Isolating Performance Bottleneck Analysis**

In this second case, Oracle Enterprise Manager generated alarms on 16 servers which had CPU load over 80% - the set thresholds for all 16 servers - during some periods of time. The load of cluster database was automatically balanced, however Invariant Analyzer identified one specific server with a Run Queue Length nearly double of other DB hosts during the analyzed period. One of the reasons that this server experienced such a high Run Queue may be partly due to the backup server running on last two nodes.

Approximately 3,000 DB host and DB instance metrics were collected and used for analysis. For making the model, 192 time points were used (at 15 minutes intervals over two sequential days or 4 per hour x 24 hour x 2 days = 192), resulting in 5,500 invariants being extracted by Invariant Analyzer.

Invariant Analyzer detected a variance over time between “read throughput” and “read latency” for a specific storage server in the storage cluster. The typical expected behavior is a linear relationship between the two metrics, however the analysis result showed the “read latency” being much higher than its forecasted value (from the reference model). Because the “read latency” was not extreme, thresholds set were not violated therefore escaping detection by conventional monitoring alone. Indeed, this failure was missed by administrators because there was no threshold transgression and no alarm generated.

As described above, Invariant Analyzer detected the unusual behavior of a particular storage server where there was a variance between “read throughput” and “read latency”. The combination of these high “read latency” and low “read throughput” may be indicative of a performance degradation of the storage server. As this phenomenon can be observed when the disk causes random access instead of sequential access, a system administrator could investigate this server further for unusual workload, possibly periodic and related to end of month activities.
to other hosts. As performance data of application was not included in the PoC, it was impossible to do further cross domain analysis. The available analysis results pointed to a possible bottleneck giving a system administrator a first clue to investigate.

**Conclusion**

Today’s IT applications have become critical to the enterprise’s revenue cycle management and any errors could result in hindered payments or delayed transactions. With applications tied to an enterprise’s revenue generation workflows, the performance of the traditional and cloud application becomes paramount.

The purpose of this PoC is to show how to use NEC Invariant Analyzer with Oracle Enterprise Manager to assist in root cause analysis for a mission-critical collaboration application. Within the target system environment, analysis was done offline through exporting metrics from Oracle Enterprise Manager and importing the data into NEC Invariant Analyzer. In two separate cases during the PoC, NEC Invariant Analyzer detected anomalies or invariants to the systems being monitored that may warrant further investigation.

Oracle Enterprise Manager already offers a robust portfolio for application management. Oracle Enterprise Manager tools provide automation, orchestration, monitoring and management to IT professionals so that they can focus on business-level activities by providing insights into complex business processes and visibility into business metrics from the application down to individual transaction level (known as Business Transaction Management). When integrated with Oracle Enterprise Manager, NEC Invariant Analyzer can be a complementary solution and extend Oracle Enterprise Manager’s capabilities. In the future, an IA bi-directional data exchange connector can extend Oracle Enterprise Manager capabilities.

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